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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/474,783	12/30/1999	DONALD K. NEWELL	1020.P6929	2707

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EXAMINER

SHANG, ANNAN Q

ART UNIT	PAPER NUMBER
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2623

DATE MAILED: 09/29/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/474,783

Applicant(s)

NEWELL ET AL.

Examiner

Annan Q. Shang

Art Unit

2623

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 20 July 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,4-7,9 and 12-25 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,4-7,9 and 12-25 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1, 4-7, 9 and 12-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Russo (5,619,247)** in view of **Horton et al (4,945,563)** and further in view of **Gotwald (5,987,518)**

With respect to Claim 1, **Russo** teaches a system for controlling use of broadcast content comprising a receiver in communications with a source of broadcast content and a playback device and a storage device, wherein the receiver is configured to extract the descriptor or supplemental information and control the use of the received broadcast content through the playback device and storage device in accordance with descriptor in the received broadcast content (fig.2, col.3 lines 3-28, lines 50-60, col.6 lines 12-25, col.8 lines 55-67). Russo teaches where a Storage Device (SD) 14 is coupled to Receiver (R) 4 (fig.1, col. 3 lines 3-20 and lines 40-64).

Furthermore, the authorization key and compression algorithms directly define actions or operations to be taken pertaining the broadcast data. Russo teaches that descriptor information indicates the storage device may store the received broadcast content prior to viewing and without reproducing the received broadcast content (col.6, lines 34-53, note that SD-14 receives and stores the program and "once the transaction

has been registered by the record/play controller, relevant signal de-scrambling facilities would be enabled, allowing the program to be viewed") and once stored, a length of time that the received broadcast content may be consumed (col.5 lines 32-46, a viewer may be allowed to view the selected program as many times as desired over a particular time).

Russo further teaches that the descriptor or supplemental information may in the broadcast content path 102 (col.8 lines 65-67, col.9 line 1), but fails to explicitly state that this control information is embedded in the content and also defines the action or whether to store the received broadcast content prior to viewing.

However, **Horton** teaches broadcasting audiovisual content along with embedded descriptor information to define an action to be taken pertaining to the received content, explicitly storing the received broadcast content (col.3 lines 38-67).

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention to modify Russo with the ability to specify the action as storing the content and embedding descriptor information as in Horton in order for the broadcast provider to specify what could be done to the broadcast programs to prevent unauthorized copying and also allow the user to only access certain programs.

Russo as modified by Horton, teach a receiver comprising a data interface (Russo modem 130, fig.2), but fail to explicitly teach a receiver comprising a data interface having an Internet Protocol (IP) data module to process a broadcast stream comprising IP encapsulated data.

However, note the **Gotwald** reference figures 1 and 2, discloses method and apparatus for communicating Internet protocol data over a broadband MPEG channel and further discloses a receiver (Client 18) comprising a data interface having an Internet Protocol (IP) data module to process a broadcast stream comprising IP encapsulated data (col.3, lines 26-32 and col.3, line 51-col.4, line 7).

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the teaching of Gotwald into the system of Russo as modified by Horton to retrieve additional information from the Internet.

As to claim 4, Russo further teaches that the receiver is configured to maintain information relating to the use of the received broadcast content (col.3 lines 20-25, col.5 lines 48-65).

As to claim 5, Russo teaches that the receiver is configured to use the information relating to the use of the received broadcast content for remuneration of a provider of content (col.4 lines 45-67, col.5 lines 20-33, col.6 lines 34-55).

As to claim 6, Russo teaches that the information relating to the use of the received broadcast content comprises, a duration of use (col.5 lines 32-47).

As to claim 7, the claimed "method comprising..." is composed of the same structural elements that were discussed in the rejection of claim 1.

As to claim 9, Russo teaches lengths of time and days that the broadcast may be viewed (col.5 lines 33-48), but fails to show that the descriptor information indicates the number of times the received broadcast content may be consumed. Limiting the number of times is a logical variation of the restriction of viewing already set forth by Russo and

therefore would have been obvious to one of ordinary skill in the m at the time the invention was made. This would enable the broadcast facility to supply the viewer with various pricing and viewing options.

As to claim 12, Russo teaches that the video can be saved for a predetermined length of time but doesn't specifically state a date range (col. 5, lines 32-46). It is nonetheless inherent that this time period would be more than one day, thus covering a range of dates.

As to claim 13, it is inherent that the information sent to the user site would include billing information (col. 6 lines 10-27).

As to claim 14, it is inherent that the information sent to the user site would contain information for the cost of consuming the broadcast (col. 6 lines 10-27).

As to claim 15, Russo teaches the ability to "unlock" certain viewing options with a code sent along with the video stream (col. 6 lines 10-27). This inherently prevents the unjustified use of the broadcast material since other options would remain locked.

Regarding Claim 16, teaches shows obtaining payment information from the user (col. 6 lines 20-28, lines 35-46, col. 10 lines 10-48).

Regarding Claim 17, teaches shows communicating consumption information to a billing facility (col. .6 lines 34-53, col. 10 lines 10-48).

Regarding Claim 18, teaches shows that the billing facility comprises a facility maintained by a provider of the broadcast content (col. 6 lines 20-36).

As to claim 19, the claimed “a machine-readable medium... a method comprising...” is composed of the same structural elements that were discussed in the rejection of claim 1.

As to claim 20, Russo further teaches where the storage comprises a memory accessible by a computer.

As to claim 21, Russo fails to show that the storage medium comprises a portable storage device. Official Notice is taken that it is well known and expected in the art to use removable storage devices, such as CD-ROMS or removable hard drives.

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention to modify the system of Russo with a portable storage device so that the instructions could be transported to other systems.

As to claims 22-23, the claimed “A system comprising a receiver in communication with a source of broadcast content...” is composed of the same structural elements that were discussed in the rejection of claim 1.

Claim 24 is met as previously discussed with respect to claim 5.

Claim 25 is met as previously discussed with respect to claim 6.

### ***Response to Arguments***

3. Applicant's arguments with respect to claims 1, 4-7, 9 and 12-25 have been considered but are moot in view of the new ground(s) of rejection. The amendment to all the independent claims necessitated the new ground(s) of rejection discussed above. **This Office Action is made Final.**

***Conclusion***

4. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Annan Q. Shang** whose telephone number is **571-272-7355**. The examiner can normally be reached on **700am-400pm**.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, **Christopher S. Kelley** can be reached on **571-272-7331**. The fax phone number for the organization where this application or proceeding is assigned is **571-273-8300**.



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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the **Electronic Business Center (EBC)** at **866-217-9197 (toll-free)**. If you would like assistance from a **USPTO Customer Service Representative** or access to the automated information system, call **800-786-9199 (IN USA OR CANADA)** or **571-272-1000**.



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